IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Richard K. Williams and Wayne Grabowski

Assignee:

Advanced Analogic Technologies, Inc.

Title:

Trench Transistor With Chained Implanted Body

Serial No.:

Not assigned yet

Filing Date:

January 28, 2004

Examiner:

Not assigned yet

Group Art Unit:

Not assigned yet

Docket No.:

M7207US4D

San Jose, California January 28, 2004

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT UNDER CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants call to the attention of the Examiner the documents listed on the attached substitute PTO Form-1449A.

Copies of the listed documents are <u>not</u> being submitted because all of the documents were cited or submitted in U.S. Patent Application No. 10/146,668, filed on May 14, 2002, which is a divisional of U.S. Patent Application No. 09/296,959, filed on April 22, 1999, now U.S. Patent No. 6,413,822, which the present application relies on for an earlier effective filing date under 35 U.S.C. 120.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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However, the Commissioner is hereby authorized to charge any fees that may be required for consideration of this information disclosure statement to Deposit Account No. 50-1767.

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Respectfully submitted,

David Millers

Attorney for Applicants

Reg. No. 37,396

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Substitute for form 1449A/PTO	C mplete if Known			
INFORMATION DIOCE COURS	Application Number			
INFORMATION DISCLOSURE	Filing Date	January 28, 2004		
STATEMENT BY APPLICANT	First Named Inventor	Richard K. Williams		
	Group Art Unit			
(use as many sheets as necessary)	Examiner Name			
Sheet 1 of 1	Attorney Docket Number	M7207US4D		

U.S. PATENT DOCUMENTS						
Examiner Initials * Cite No.! U.S. Patent Docum U.S. Patent Docum Kind Co Number (if know.)		Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	4,238,278	B1	Antipov	12-09-1980	ALL
	2	4,509,249	B1	Goto et al.	04-09-1985	ALL
	3	4,767,722	B1	Blanchard	08-30-1988	ALL
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	17	6,054,365	B1	Lizotte	04-25-2000	ALL

	FOREIGN PATENT DOCUMENTS							
	Cite	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
	No.1	Office ³		Kind Code ^s (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
	18	JP	7326738-A		Matsuda et al.	12-1995	ALL	
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Examiner Signature	Date Considered	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.